DAVID J. ROSS

October 2026

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EDUCATION

M.B.A. UNIVERSITY OF CHICAGO: 1985
(Completed specialization requirements in Economics, Finance and Industrial Relations)

B.A. UNIVERSITY OF CHICAGO: 1983
(Major in Economics)

PROFESSIONAL EXPERIENCE

Compass Lexecon (formerly Lexecon) Chicago, Illinois
(1985 to present) Current Position: Executive Vice President

FIELDS OF SPECIALIZATION

Finance
Labor Economics
Economic Analysis of Law

ACADEMIC HONORS AND SCHOLARSHIPS

Beta Gamma Sigma
University of Chicago Graduate School of Business Fellowship
Phi Beta Kappa
University of Chicago National Merit Scholarship

ARTICLES

The Use of Trading Models to Estimate Aggregate Damages in Securities Fraud Litigation: An Update (with Daniel R. Fischel and Michael A. Keable) in Briefly… Perspectives on Legislation, Regulation, and Litigation, National Legal Center for the Public Interest, Vol. 10, No. 3 (March 2006).

The Hewlett-Packard Merger: A Case Study in The New Investor Relations, Expert Perspectives on the State of the Art (Bloomberg Press Princeton, 2004) (with Kenneth R. Cone, Daniel R. Fischel and Gregory J. Pelnar).

The Economics of the Winstar Cases, in Selected Works of Merton H., Miller, A Celebration of Markets, Volume 2: Economics (Bruce D. Grundy, ed.) (The University of Chicago Press, 2002) (with Merton H. Miller).

The Orange County Bankruptcy and its Aftermath: Some New Evidence (with Merton H. Miller), Journal of Derivatives, Vol. 4, No. 4 (Summer 1997).

Clustering and Competition in Asset Markets (with Daniel R. Fischel, Sanford J. Grossman, Merton H. Miller, and Kenneth R. Cone), Journal of Law and Economics, Vol. XL (1) (April 1997).

Do Conflicts Between Class Members Vitiate Class Action Securities Fraud Suits?, St. John's L. Rev., Vol. 70, No. 2 (Spring 1996).

The Use of Trading Models to Estimate Aggregate Damages in Securities Fraud Litigation: A Proposal for Change (with Daniel R. Fischel), in Securities Class Actions: Abuses and Remedies (The National Legal Center for the Public Interest, 1994).

Should the Law Prohibit "Manipulation" in Financial Markets? (with Daniel R. Fischel), Harv. L. Rev., Vol. 105, No. 2, p. 503 (December 1991).

Comparisons of Term Premium Forecasts: More Information in the Term Structure, University of Chicago Graduate School of Business, Manuscript (December 1986).

OTHER ACTIVITIES

Member, American Economic Association, American Finance Association.

Member, Investment Committee, Jewish United Fund/Jewish Federation of Metropolitan Chicago.

Have acted as a consultant and/or advisor to the Commodity Futures Trading Commission, the Federal Deposit Insurance Corporation, the Internal Revenue Service, the National Association of Securities Dealers, the Office of Thrift Supervision, the U.S. Department of Justice, and the U.S. Securities & Exchange Commission.

Referee: Journal of Business, Journal of Law and Economics, Journal of Legal Studies.

EXPERT TESTIMONY

Testimony of David J. Ross (as a summary witness) in Re: United States of America v. Gregg Smith, Michael Nowak, and Jeffrey Ruffo, United States District Court, Northern District of Illinois, Eastern Division, Case No. 19-CR-00669 (July 25, 2022).

Deposition of David J. Ross in Re: Commodity Futures Trading Commission v. Monex Credit Company et al., United States District Court, Central District of California, Case No. 8:17-cv-01868 (June 25, 2021).

Deposition of David J. Ross in Re: Securities and Exchange Commission v. Lek Securities Corporation et al., United States District Court, Southern District of New York, Case No. 17-CV-1789(DLC) (July 26, 2018).

Declaration of David J. Ross in Re: Commodity Futures Trading Commission v. Monex Credit Company et al., United States District Court, Central District of California, Case No.8:17-cv-01868-JVS-DFM (February 5, 2018).

Deposition of David J. Ross in Re: The People of the State of New York v. Maurice R. Greenberg and Howard I. Smith, Supreme Court of the State of New York, County of New York, Index No. 401720/05 (December 9, 2014).

Testimony of David J. Ross in Re: Dennis L. Winger et al., v. Life Technologies Corporation, Before the American Arbitration Association, AAA No. 12-166-00307-10 (May 3 & 4, 2012).

Testimony of David J. Ross in Re: Bank of New York Mellon Corporation v. Commissioner of Internal Revenue, United States Tax Court, Docket No. 26683-09 (May 2, 2012).

Deposition of David J. Ross in Re: MoneyGram International Inc. Shareholder Litigation, In the Court of Chancery of the State of Delaware, Case No. C.A. 6387-VCL (April 24, 2012).

Deposition of David J. Ross in Re: Bank of New York Mellon Corporation v. Commissioner of Internal Revenue, United States Tax Court, Docket No. 26683-09 (February 22, 2012).

Testimony of David J. Ross in Re: Buttonwood Tree Value Partners, LP et al., v. Smith et al., Arbitration (January 18, 2012).

Deposition of David J. Ross in Re: Transatlantic Holdings, Inc. et al., v. American International Group, Inc. et al., Before the American Arbitration Association, Case No. 50-148-T-00376-10 (January 10, 2012).

Deposition of David J. Ross in Re: Buttonwood Tree Value Partners, LP et al., v. Smith et al., Arbitration (December 15, 2011).

Deposition of David J. Ross in Re: Pat Beesley et al., v. International Paper Company et al., United States District Court, Southern District of Illinois, No. 06-703-DRH-CJP (December 13, 2011).

Deposition of David J. Ross in Re: Dennis L. Winger et al., v. Life Technologies Corporation, Before the American Arbitration Association, AAA No. 12-166-00307-10 (November 22, 2011).

Deposition of David J. Ross in Re: Gerald George et al. v. Kraft Foods Global, Inc. et al., United States District Court, Northern District of Illinois, Case No. 1:08-cv-03799 (December 14, 2010).

Testimony of David J. Ross in Re: Hewlett–Packard Company and Consolidated Subsidiaries v. Commissioner of Internal Revenue, United States Tax Court, Docket No. 21976-07, 10075-08 (September 22, 2010).

Deposition of David J. Ross in Re: Hewlett–Packard Company and Consolidated Subsidiaries v. Commissioner of Internal Revenue, United States Tax Court, Docket No. 21976-07, 10075-08 (August 2, 2010).

Affidavit of David J. Ross in Re: Delilah Morrison and Sherri Arguello v. MoneyGram International, Inc. et al., United States District Court, District of Minnesota, Civil Action: 08-cv-01121-PJS-JJG (May 28, 2010).

Declaration of David J. Ross in Re: Aristocrat Leisure Limited v. Deutsche Bank Trust Company Americas et al., United States District Court, Southern District of New York, Case No. 04-CV-10014 (PKL) (December 4, 2009).

Testimony of David J. Ross in Re: Aristocrat Leisure Limited v. Deutsche Bank Trust Company Americas et al., United States District Court, Southern District of New York, Case No. 04-CV-10014 (PKL) (October 14 & 15, 2009).

Affidavit of David J. Ross in Re: Hochstadt et al. v. Boston Scientific Corporation et al., United States District Court, District of Massachusetts, Case No. 08-CV-12139-DPW (July 30, 2009).

Testimony of David J. Ross in Re: Brieger et al. v. Tellabs, Inc. et al., United States District Court, Northern District of Illinois, Docket No. 06 C 1882 (May 1, 2009).

Deposition of David J. Ross in Re: Brieger et al. v. Tellabs, Inc. et al., United States District Court, Northern District of Illinois, Case No. 1:06-cv-1882 (January 29, 2009).

Deposition of David J. Ross in Re: Beesley et al. v. International Paper Company et al., United States District Court, Southern District of Illinois, Case No. 3:06-cv-00703-DRH-CJP (December 23, 2008).

Affidavit of David J. Ross in Re: Boston Scientific Corporation ERISA Litigation, United States District Court, District of Massachusetts: Master File No. 1:06-cv-10105-JLT (May 27, 2008).

Testimony of David J. Ross in Re: Loral Space and Communications, Inc., Consolidated Litigation, in the Court of Chancery of the State of Delaware in and for the County of New Castle, Case No. 2808-VCS (May 12, 2008).

Deposition of David J. Ross in Re: Loral Space and Communications, Inc., Consolidated Litigation, in the Court of Chancery of the State of Delaware in and for the County of New Castle, Case No. 2808-VCS (February 19, 2008; February 25,2008 & May 8, 2008).

Testimony of David J. Ross in Re: Schering-Plough Corporation v. United States of America, In the United States District Court for the District of New Jersey, Civil No. 05-2575 (January 24, 2008, January 25, 2008 & February 29, 2008).

Testimony of David J. Ross in Re: Shell Petroleum Inc. v. United States of America, In the United States District Court, Southern District of Texas, Houston Division, Civil Action No. H-05-2016 (December 6, 2007).

Deposition of David J. Ross in Re: Stephen C. Lingis et al. v. Motorola, Inc. et al., In the United States District Court, Northern District of Illinois, Eastern Division, No. 03 C 5044 (November 15, 2007).

Deposition of David J. Ross in Re: Schering-Plough Corporation v. United States of America, In the United States District Court for the District of New Jersey, Civil Action No. 05-2575 (November 14, 2007).

Deposition of David J. Ross in Re: Forest Laboratories, Inc. Securities Litigation, United States District Court, Southern District of New York, Civil Action No. 05-CV-2827-RMB (October 24, 2007).

Supplemental Declaration of David J. Ross in Re: Forest Laboratories, Inc. Securities Litigation, United States District Court, Southern District of New York, Civil Action No. 05-CV-2827-RMB (October 5, 2007).

Declaration of David J. Ross in Re: Forest Laboratories, Inc. Securities Litigation, United States District Court, Southern District of New York, Civil Action No. 05-CV-2827-RMB (September 6, 2007).

Declaration of David J. Ross in Re: Don Brieger et al. v. Tellabs Inc. et al., United States District Court, Northern District of Illinois, Case No. 1:06-cv-1882 (July 2, 2007).

Deposition of David J. Ross in Re: Nortel Networks Corp. ERISA Litigation, In the United States District Court for the Middle District of Tennessee, Nashville Division, MDL Docket No. 3:03-MD-1537 (June 28, 2007).

Declaration of David J. Ross in Re: Stephen C. Lingis et al., v. Motorola Inc. et al., United States District Court, Northern District of Illinois, Eastern Division, No. 03 C 5044 (June 7, 2007).

Supplemental Declaration of David J. Ross in Re: Nortel Networks Corp. Erisa Litigation, United States District Court for the Middle District of Tennessee, Nashville Division, MDL Docket No. 3:03-MD-1537, (May 21, 2007).

Declaration of David J. Ross in Re: Nortel Networks Corp. Erisa Litigation, United States District Court for the Middle District of Tennessee, Nashville Division, MDL Docket No. 3:03-MD-1537, (March 14, 2007).

Supplemental Affidavit of David J. Ross in Re: Sprint Corporation Shareholders Litigation, District Court of Johnson County, Kansas, Civil Court Department, Case No. 04 CV 01714, (March 12, 2007).

Deposition of David J. Ross in Re: Aristocrat Leisure Limited v. Deutsche Bank Trust Company Americas and KBC Financial Products UK, Ltd. et al., United States District Court, Southern District of New York, Case No. 04-CV-10014 (PKL)(BCF case) (January 16, 2007).

Declaration of David J. Ross in Re: Portal Software Inc. Securities Litigation, United States District Court, Northern District of California, Case No. C-03-5238 VRW (January 10, 2007).

Deposition of David J. Ross in Re: Shell Petroleum, Inc. v. United States of America, United States District Court, Southern District of Texas, Houston Division, Civil Action No. H-05-2016 (January 9, 2007).

Affidavit of David J. Ross in Re: Garco Investments, LLP et al. v. Sprint Corporation et al., The District Court of Johnson County, Kansas Civil Court Department, Case No. 04-CV-01714 (July 20, 2006).

Testimony of David J. Ross in Re: The Rogers Revocable Trust v. Bank of America, N.A., Supreme Court of the State of New York, County of New York – Civil Term, Index No. 601133/04 (June 1, 2006).

Trial Affidavit of David J. Ross in Re: The Rogers Revocable Trust U/A/D 12/31/81 v. Bank of America, N.A., Supreme Court of the State of New York, County of New York – Civil Term, Index No. 601133/04 Part 49 (Cahn, J.) (May 23, 2006).

Deposition of David J. Ross in Re: Aquila ERISA Litigation, United States District Court for the Western District of Missouri, Western Division, Case No. 04-CV-00865-DW (February 9, 2006).

Affidavit of David J. Ross in Re: Aquila ERISA Litigation, United States District Court for the Western District of Missouri, Western Division, Case No. 04-CV-00865-DW (November 22, 2005).

Declaration of David J. Ross in Re: Dennis Lively, Willis Harms and Larry Grab v. Dynegy, Inc., Illinois Power Company et al., United States District Court, Southern District of Illinois, Case No. 05-00063-MJR (October 21, 2005).

Deposition of David J. Ross in Re: Dennis Lively, Willis Harms and Larry Grab v. Dynegy, Inc., Illinois Power Company et al., United States District Court, Southern District of Illinois, Case No. 05-00063-MJR (September 19, 2005).

Testimony of David J. Ross in Re: UAL Corporation et al. v. Debtors, United States Bankruptcy Court, Northern District of Illinois, Eastern Division, Chapter 11 Case No. 02 B 48191 (September 14 & 15, 2005).

Deposition of David J. Ross in Re: UAL Corporation et al. v. Debtors, United States Bankruptcy Court, Northern District of Illinois, Eastern Division, Chapter 11 Case No. 02-B-48191 (September 12, 2005).

Testimony of David J. Ross in Re: Coleman (Parent) Holdings, Inc., v. Morgan Stanley & Co., Inc., Circuit Court of the 15th Judicial Circuit, Palm Beach County, Florida, Case No.CA 03-5045 AI (June 20, 2005).Deposition of David J. Ross in Re: Coleman (Parent) Holdings, Inc., v. Morgan Stanley & Co., Inc., Circuit Court of the 15th Judicial Circuit, Palm Beach County, Florida, Case No.CA 03-5045 AI (June 15, 2005).

Affidavit of David J. Ross in Re: Sprint Corporation ERISA Litigation, United States District Court, District of Kansas, Case No. 2:03-CV-02202-JWL (March 7, 2005).

Deposition of David J. Ross in Re: BVW Limited Partnership v. First National Bank of Chicago n/k/a Bank One, N.A., Circuit Court of Cook County, Illinois, County Department, Law Division, Case No. 02-L-003730 (September 28, 2004).

Testimony of David J. Ross in Re: The Globe Savings Bank et al. v. United States of America, United States Court of Federal Claims, Civil Action No. 91-1550C (August 2 & 3, 2004).

Deposition of David J. Ross in Re: Electronic Data Systems Corp. "ERISA" Litigation, United States District Court, Eastern District of Texas, Tyler Division, Case No. 6:03-MD-1512, Lead Case: 6:03-CV-126 (June 11, 2004).

Affidavit of David J. Ross in Re: Electronic Data Systems Corp. "ERISA" Litigation, United States District Court, Eastern District of Texas, Tyler Division, Case No. 6:03-MD-1512, Lead Case: 6:03-CV-126 (May 27, 2004).

Supplemental Declaration of David J. Ross in Re: McKesson HBOC, Inc. Securities Litigation, United States District Court, Northern District of California, Case No. CV-99-20743 RMW (March 11, 2004).

Declaration of David J. Ross in Re: McKesson HBOC, Inc. Securities Litigation, United States District Court, Northern District of California, Case No. CV-99-20743 RMW (January16, 2004).

Testimony of David J. Ross in Re: Quanex Corporation and affiliated subsidiaries v. Commissioner of the Internal Revenue, Houston, Texas, Case No. 12642-01 (September 19, 2003 January 27 & 28, 2004).

Deposition of David J. Ross in Re: Irene Abrams et al. v. Van Kampen Funds Inc. et al., United States District Court, Northern District of Illinois, Eastern Division, Case No. 01C7538 (October 27, 2003).

Supplemental Declaration of David J. Ross in Re: Max Silberman et al. v. Cylink Corporation et al., United States District Court, Northern District of California, Case. No. C-98-4536 (March 19, 2003).

Declaration of David J. Ross in Re: Max Silberman et al. v. Cylink Corporation et al., United States District Court, Northern District of California, Case. No. C-98-4536 (October 31, 2002).

Testimony of David J. Ross in Re: Real Estate Associates Limited Partnership Litigation, United States District Court, Central District of California, Case No. 98-7035-DDP (October 29, 2002).

Deposition of David J. Ross in Re: Fred M. Moore & Ronald C. Hearn v. Radian Guaranty Inc. et al., United States District Court for the Eastern District of Texas, Marshall Division, Case No. 2:01CV-023 (August 9, 2002).

Deposition of David J. Ross in Re: Home Federal Bank of Tennessee, F.S.B. v. United States of America, United States Court of Federal Claims, Case No. 95-541-C (March 4, 5, 6 & 20, 2002).

Deposition of David J. Ross in Re: First Commerce Corporation and Federal Deposit Insurance Corporation v. United States, United States Court of Federal Claims, Case No. 92-731-C (December 7, 2001).

Testimony of David J. Ross in Re: Gold Banc Corporation v. Brad D. Ives, David W. Murrill and Robert E. McGannon), Before the American Arbitration Association, No. 57-148-00272-00 (July 20, 2001).

Deposition of David J. Ross in Re: Wilmonte A. Naustavicus, Aylin Gulbenkian, Richard Henry Bolt and Jane Bolt v. National Partnership Investments Corp. et al., United States District Court, Central District of California, Case No. 98-7035 (June 14, 2001).

Testimony of David J. Ross in Re: Jerome Feldman et al. v. National Westminster Bank et al., Index No. 605549/99 and Roberta Hayes et al. v. National Westminster Bank, Index No. 403545/00, Supreme Court of the State of New York, County of New York, Civil Term: Part 27 (April 9, 2001).

Testimony of David J. Ross in Re: Federal Insurance Co. v. Caremark RX, Inc. (f/k/a MedPartners, Inc.), Before the American Arbitration Association,13-195-00345-99 (November 3, 2000 and January 29, 2001).

Testimony of David J. Ross in Re: Nicole Rose Corp. F.K.A. Quintron Corp. v. Commissioner of Internal Revenue, United States Tax Court, New York, NY, No., 3328-00 (December 7, 2000).

Testimony of David J. Ross in Re: Boca Investerings Partnership v. United States, United States District Court, District of Columbia, No. CA 97-602 PLF (September 11 & 18, 2000).

Joint Affidavit of Daniel R. Fischel and David J. Ross in Re: Floyd D. Wilson v. Massachusetts Mutual Life Insurance Company, In the First Judicial District Court, County of Santa Fe, State of New Mexico, Case No. D0101 CV-98-02814 (August 4, 2000).

Testimony of David J. Ross in Re: United States of America v. Raymond J. McClendon et al., United States District Court, Northern District of Georgia, No. 1:99-CR-462 (August 1, 2000).

Deposition of David J. Ross in Re: The Globe Savings Bank, FSB et al. v. United States of America, United States Court of Federal Claims, Civil Action No. 91-1550C (June 19 & 20, 2000 October 10 & 11, 2000 and November 8 & 9, 2000).

Deposition of David J. Ross in Re: American Savings Bank et al. v. United States of America, United States Court of Federal Claims, Civil Action No. 92-872C (May 16, 17 & 18, 2000 and October 4 & 5, 2000).

Deposition of David J. Ross in Re: Coast Federal Bank, F.S.B. v. United States of America, United States Court of Federal Claims, Civil Action No. 92-466C (April 17, 18, & 19, 2000).

Deposition of David J. Ross in Re: Granite Partners et al. v. Bear, Stearns & Co. Inc. et al., United States District Court, Southern District of New York, No. 96-Civ.-7874 and ABF Capital Management et al. v. Askin Capital Management, L.P. et al., No. 96-Civ-2978, (March 9, 2000).

Testimony of David J. Ross in Re: United States of America v. Robert Krilich, United States District Court, Northern District of Illinois, No. 94-CR-419 (January 28 & 31, 2000).

Affidavit of David J. Ross in Re: Kaufman v. Motorola, Inc., United States District Court, Northern District of Illinois, Eastern Division, No. 95-C-1069 (October 15, 1999).

Supplemental Affidavit of David J. Ross in Re: IES Industries, Inc. and Subsidiaries v. United States of America, United States District Court, Northern District of Iowa, Cedar Rapids Division No. C97-206-EJM (May 4, 1999).

Testimony of David J. Ross in Re: United States of America v. Gordon Hall et al., United States District Court, Southern District of New York, No. S1-97-Cr.-1215 (DC) (May 3, 1999).

Affidavit of David J. Ross in Re: FTD Corporation et al. v. Bankers Trust Company et al., United States District Court, Southern District of New York, No. 96-CIV-0405 (SHS) (April 29, 1999).

Supplemental Declaration of David J. Ross in Re: Borland Securities Litigation, United States District Court, Northern District of California, Case No. C-95-2295 VRW (April 27, 1999).

Affidavit of David J. Ross in Re: IES Industries, Inc. and Subsidiaries v. United States of America, United States District Court, Northern District of Iowa, Cedar Rapids Division, No. C97-206-EJM (March 23, 1999).

Testimony of David J. Ross in Re: LaSalle Talman, F.S.B. v. United States of America, United States Court of Federal Claims, No. 92-652C (March 1, 2, & 12, 1999).

Deposition of David J. Ross in Re: IES Industries, Inc. and Subsidiaries v. United States of America, United States District Court, Northern District of Iowa, No. C97-206-EJM (December 29, 1998).

Deposition of David J. Ross in Re: LaSalle Talman, F.S.B. v. United States of America, United States District Court, Northern District of Illinois, Eastern Division, No. 92-652C (December 17 & 18, 1998).

Deposition of David J. Ross in Re: County of Orange v. McGraw-Hill Companies, Inc. d/b/a Standard & Poors, United States District Court, Central District of California, Case No. CV 96-0765-GLT (December 14, 1998).

Deposition of David J. Ross in Re: Boca Investerings Partnership v. United States, United States District Court, Northern District of Illinois, No. 1:97-CV-602 (PLF) (November 30, 1998).

Supplemental Declaration of David J. Ross in Re: California Micro Devices Securities Litigation, United States District Court, Northern District of California, Master File No. C-94-2817-VRW (August 26, 1998).

Affidavit of David J. Ross in Re: David Orman et al. v. America Online et al., United States District Court, Eastern District of Virginia, Alexandria Division, Civil Action No. 97-264-A (February 20, 1998).

Joint Affidavit of Daniel R. Fischel and David J. Ross in Re: Publicis Communication v. True North Communications Inc. et al., United States District Court, Northern District of Illinois, Eastern Division, Case No. 97-C-8263 (December 7, 1997).

Declaration of David J. Ross In Re: Borland Securities Litigation, United States District Court, Northern District of California, Case No. C-95-2295 VRW (October 29, 1997).

Testimony of David J. Ross in Re: District Business Conduct Committee for District No. 10 v. A.S. Goldmen & Co., Inc., Anthony J. Marchiano, Stuart E. Winkler, Stacy Meyers, NASD Regulation, Inc., New York, New York, Complaint No. C10960208 (September 17 & October 14, 1997).

Affidavit of David J. Ross in Re: Westcap Enterprises, Inc. (successor by merger to Westcap Securities, L.P.) and The Westcap Corporation, (successor by merger to Westcap Securities Investment, Inc. and Westcap Securities Management, Inc.), Debtors, Board of Trustees of Community College District No. 508, County of Cook, State of Illinois, Claimant, v. Westcap Enterprises, Inc. and The Westcap Corporation, Debtors, United States Bankruptcy Court, Southern District of Texas, Houston Division, (Jointly Administered Under) Case No. 96-43191-H2-11 (September 14, 1997).

Deposition of David J. Ross in Re: Marcia Rubin, Jason Gorchow, and Michelle Gorchow v. Jules Laser, Civil Action No. 93-CH-010972, Circuit Court of Cook County, Illinois, County Department - Chancery Division, (July 15 & October 1, 1997).

Deposition of David J. Ross in Re: David Abbott et al. v. Kidder, Peabody & Company et al. Civil Action No. 93-S-1709, United States District Court for the District of Colorado (July 11, 1997).

Testimony of David J. Ross in Re: Sandra Lerner, Leonard Bosack, and The Trust v. Goldman Sachs & Co., Kent C. McCarthy, Craig A.S. Steiger, Simon J. Michael, Ralph Severson, William J. Buckley & Roy Zuckerberg, Before the American Arbitration Association; 75-136-00090-94 (April 8, 9, 10 & 11, 1997; May 28, 1997 & June 4, 1997).

Testimony of David J. Ross Re: The Matter of the Arbitration Between Metrobank, Claimant and Shearson Lehman Brothers, Inc. and Wayne A. Wagner, Respondents, Municipal Securities Rulemaking Board, MS 96-4 (February 19 & 20, 1997).

Testimony of David J. Ross in Re: Bert Vladimir v. United States Banknote Corporation, Morris Weissman, John T. Gorman, and Stanley Kreitman, United States District Court, Southern District of New York, 94-CV-0255-MGC (January 16, 1997).

Supplemental Declaration of David J. Ross in Re: California Micro Devices Securities Litigation, United States District Court, Northern District of California, Master File No. C-94-2817-VRW (December 11, 1996).

Declaration of David J. Ross in Re: California Micro Devices Securities Litigation, United States District Court, Northern District of California, Master File No. C-94-2817-VRW (October 16, 1996).

Deposition of David J. Ross in Re: Thomas De La Rue AG v. U.S. Banknote Corporation, No. 94-Civ-7925 (MGC) and U.S. Banknote Corporation v. Thomas De La Rue AG, and De La Rue pk, No. 94-Civ-9210 (MAC), United States District Court Southern District of New York (June 17, 1996).

Deposition of David J. Ross in Re: Andrew W. McGhee v. Richard L. Joutras et al., United States District Court, Northern District of Illinois, Eastern Division, Master File No. 94-C-7052 (May 30, 1996).

Deposition of David J. Ross in Re: Bert Vladimir v. U.S. Banknote Corporation, United States District Court, Southern District of New York, Civil Action No. 94-CIV-0255 (MGC) (March 20, 1996).

Deposition of David J. Ross in Re: Scattered Corporation v. Midwest Clearing Corporation, Circuit Court of Cook County, Illinois County Department, Law Division, No. 93 L 10216 (February 27, 1996).

Deposition of David J. Ross in Re: Andrew W. McGhee v. Richard L. Joutras et al., United States District Court, Northern District of Illinois, Eastern Division, Master File No. 94-C-7052 (August 3 & 4, 1995).

Declaration of David J. Ross in Re: Wells Fargo Securities Litigation, United States District Court, Northern District of California, Master File No. C-91-1944 VRW, (March 31, 1995).

Testimony of David J. Ross In The Matter Of: Scattered Corporation: Leon Aaron Greenblatt, III, Andrew Alvin Jahelka, Richard Owen Nichols and Laura Bryant, The Chicago Stock Exchange, Incorporated, Proceeding No. CHX-D-93-1 (December 19, 20, 21 & 22, 1994).

Deposition of David J. Ross in Re: Gloria G. Haft v. Herbert H. Haft, Superior Court of the District of Columbia, Civil Action No. DR-2087-93d (April 8, 1994).

Supplemental Declaration of David J. Ross in Re: Oracle Securities Litigation, United States District Court, Northern District of California, Master File No. C 90 0931 VRW (May 21, 1993).

Declaration of David J. Ross in Re: Oracle Securities Litigation, United States District Court, Northern District of California, Master File No. C 90 0931 VRW (April 20, 1993).

Testimony of David J. Ross in Re: State of West Virginia v. Morgan Stanley & Co. Incorporated, in the Circuit Court of Kanawha County, West Virginia, Civil Action No. 89-C-3700 (May 5, 1992).

Deposition of David J. Ross in Re: State of West Virginia v. Morgan Stanley & Co. Incorporated, in the Circuit Court of Kanawha County, West Virginia, Civil Action No. 89-C-3700 (April 25, 1992).

Testimony of David J. Ross in Re: The Stuart-James Co. et al., United States of America before the Securities & Exchange Commission, Denver, Colorado, Administrative Proceeding File No. 3-7164 (May 6,1991).

Affidavit of David J. Ross in Re: Dean Peter Debruyne and Evelyn S. Carlyle, individually and on behalf of all others similarly situated v. The Equitable Life Assurance Society of the United States and Equitable Capital Management Corporation, United States District Court, Northern District of Illinois, Eastern Division, No. 88 C 10098 (October 12,1989).