# GUSTAVO E. BAMBERGER Executive Vice President

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## **EDUCATION**

Ph.D., UNIVERSITY OF CHICAGO, 1987, GRADUATE SCHOOL OF BUSINESS M.B.A., UNIVERSITY OF CHICAGO, 1984, GRADUATE SCHOOL OF BUSINESS B.A., SOUTHWESTERN AT MEMPHIS, 1981

### **EMPLOYMENT**

COMPASS LEXECON (formerly Lexecon), Chicago, Illinois (3/87-Present): Executive Vice President

UNIVERSITY OF CHICAGO, (1984, 1986): Lecturer

GOVERNORS STATE UNIVERSITY, (1986): Community Professor

UNIVERSITY OF CHICAGO, (1982-1986): Teaching Assistant

UNIVERSITY OF CHICAGO, (1982-1986): Research Assistant

#### ACADEMIC HONORS AND FELLOWSHIPS

University of Chicago Fellowship, 1981-1984

H.B. Earhart Fellowship, 1985-1986

## RESEARCH PAPERS

"Antitrust and Higher Education: Was There a Conspiracy to Restrict Financial Aid?" co-authored with D. Carlton and R. Epstein, <u>RAND Journal of Economics</u>, (Vol. 26, No. 1, Spring 1995, pp. 131-147).

- "Antitrust and Higher Education: MIT Financial Aid (1993)," co-authored with D. Carlton, in <a href="The-Antitrust Revolution: Economics">The Antitrust Revolution: Economics, Competition, and Policy</a>, John Kwoka and Lawrence White, eds., 1998.
- "Airline Networks and Fares", co-authored with D. Carlton, in <u>Handbook of Airline Economics</u>, 2nd ed., Darryl Jenkins, ed., 2003.
- "Revisiting Maximum Resale Price Maintenance: State Oil v. Khan (1997), in <a href="The Antitrust Revolution: Economics, Competition, and Policy">The Antitrust Revolution: Economics, Competition, and Policy</a>, John Kwoka and Lawrence White, eds., 2004.
- "An Empirical Investigation of the Competitive Effects of Domestic Airline Alliances," co-authored with D. Carlton and L. Neumann, <u>Journal of Law and Economics</u>, (Vol. 47, No. 1, April 2004, pp. 195-222).
- "Predation and the Entry and Exit of Low-Fare Carriers," co-authored with D. Carlton, in <u>Advances in Airline Economics: Competition Policy and Antitrust</u>, Darin Lee, ed., 2006.

## **TESTIMONIAL EXPERIENCE**

- Direct, Rebuttal and Cross-Examination Testimony of Gustavo E. Bamberger on behalf of Producer Marketers Transportation Group, before the Illinois Commerce Commission in Docket No. 90-0007, April 24, 1990 (Direct); July 6, 1990 (Rebuttal); and May 30, 1990 and August 3, 1990 (Cross-Examination).
- Testimony of Gustavo E. Bamberger in Re: <u>United States of America v. Irving A. Rubin</u>: In the U.S. District Court for the Northern District of Illinois, Eastern Division, No. 91 CR 44-2, December 3, 1993.
- Testimony of Gustavo E. Bamberger in Re: <u>Center for Public Resources Arbitration</u>, <u>E. Merck and EM Industries</u>, <u>Incorporated</u>, <u>against Abbott Laboratories</u>, February 8, 1994.
- Deposition and Testimony of Gustavo E. Bamberger in the Matter of: <u>Michael R. Sparks, Debtor</u>: In the United States Bankruptcy Court for the Northern District of Illinois, Eastern Division, No. 92 B 21692, May 9, 1994 (Deposition and Testimony).
- Joint Affidavit and Joint Reply Affidavit of John P. Gould and Gustavo E. Bamberger in Re: In the Matters of Review of the Pioneer's Preference Rules and Amendment of the Commission's Rules to Establish New Personal Communications Services: Proceedings before the Federal Communications Commission, ET Docket 93-266, Gen. Docket 90-314, July 26, 1994 (Affidavit); and August 8, 1994 (Reply Affidavit).
- Statement of John P. Gould and Gustavo E. Bamberger on Implementing Legislation for the Uruguay Round of GATT (S. 2467) (Pioneer Preference Provisions) Before the Senate Commerce Commission, November 14, 1994.
- Report and Deposition of Gustavo E. Bamberger in Re: Khan, et al. v. State Oil Company; In the U.S. District Court for the Northern District of Illinois, Eastern Division, No. 94 C 00035, May 30, 1995 (Report); and July 27, 1995 (Deposition).

- Statement and Supplemental Statement of Alan O. Sykes and Gustavo E. Bamberger in Re: <u>Fresh Tomatoes and Bell Peppers</u>, Investigation No. TA-201-66, United States International Trade Commission, June 3, 1996 (Statement); and June 10, 1996 (Supplemental Statement).
- Testimony of Gustavo E. Bamberger in Re: <u>Wisconsin Public Service Corporation; WPS Energy Services, Inc.; and WPS Power Development, Inc.</u>: Before the Federal Energy Regulatory Commission, Docket No. ER96-1088-000, July 22, 1996.
- Pre-Filed Direct, Rebuttal and Re-Direct Testimony of Gustavo E. Bamberger in Re:

  <u>Disapproval of Rate Filings for American Casualty Company of Reading, Pennsylvania, and Continental Casualty Company</u>, Before the State Office of Administrative Hearings (Texas), SOAH Docket No. 454-96-0800, September 10, 1996 (Direct); September 16, 1996 (Rebuttal); and September 27, 1996 (Re-Direct).
- Affidavit of Gustavo E. Bamberger in Re: Summit Family Restaurants Inc., a Delaware

  Corporation; HTB Restaurants Inc., a Delaware Corporation; and CKE Restaurants Inc.,
  a Delaware Corporation vs. HomeTown Buffet, Inc., a Delaware Corporation; and
  Buffets, Inc., a Minnesota Corporation: In the U.S. District Court for the District of Utah,
  Central Division, No. 96 CV 0688B, September 17, 1996.
- Report, Supplemental Report, Affidavit, Deposition and Affidavit of Gustavo E. Bamberger in Re:

  <u>Blue Cross & Blue Shield United of Wisconsin, and Compcare Health Services</u>

  <u>Insurance Corporation v. The Marshfield Clinic and Security Health Plan of Wisconsin, Inc.</u>: In the U.S. District Court for the Western District of Wisconsin, No. 94-C-0137-C, December 19, 1996 (Report with William J. Lynk); February 10, 1997 (Supplemental Report William J. Lynk); March 10, 1997 (Affidavit with William J. Lynk); March 18, 1997 (Deposition); and April 4, 1997 (Affidavit).
- Affidavit of Dennis W. Carlton and Gustavo E. Bamberger in Re: Pacific Gas & Electric Company, San Diego Gas & Electric Company, and Southern California Edison Company: United States of America Before the Federal Energy Regulatory Commission, FERC Docket No. ER96-1663-000, January 16, 1997.
- Testimony and Prepared Statement of Gustavo E. Bamberger on behalf of Sacramento Municipal Utility District in Re: <a href="Pacific Gas">Pacific Gas</a> and Electric Company, San Diego Gas & Electric Company and Southern California Edison Company: Before the Federal Energy Regulatory Commission Technical Conference on Structural Mitigation Options, Docket No. ER96-1663-000, January 17, 1997.
- Affidavit, Report, Rebuttal Report and Deposition of Gustavo E. Bamberger in Re: Henry & Joann Rozema, Island Sports Center, Inc., Mark McKay, Lawrence Halida, Harriet Halida, and Kathleen Malek, on behalf of themselves and all others similarly situated v. The Marshfield Clinic, Security Health Plan of Wisconsin, Inc., North Central Health Protection Plan, and Rhinelander Medical Center, S.C.: In the U.S. District Court for the Western District of Wisconsin, No. 94-C-592-C, July 11, 1997 (Affidavit); July 23, 1997 (Report with William J. Lynk); September 2, 1997 (Rebuttal Report); and September 11-12, 1997 (Deposition).

- Deposition, Testimony and Surrebuttal Testimony of Gustavo E. Bamberger in Re: <u>Deltic Farm & Timber, Co., Inc. vs. Great Lakes Chemical Corporation</u>: In the U.S. District Court for the Western District of Arkansas, El Dorado Division, No. 95-1090, November 13, 1997 (Deposition); December 9, 1997 (Testimony); and December 10, 1997 (Surrebuttal Testimony).
- Report, Deposition and Testimony of Gustavo E. Bamberger in Re: In the Arbitration of Bandag, Incorporated, Claimant, v. Treadco, Inc., Respondent; Treadco, Inc., Counter-Claimant and Claimant, v. Bandag, Incorporated, Martin Carver, William Sweatman, J.J. Seiter, Ronald Toothaker, and Ronald Hawks, Counter-Respondent and Respondents:

  American Arbitration Association, Chicago, Illinois, No. 51 114 0038 95, May 21, 1998 (Report); August 18, 1998 (Deposition); and November 12 and 16, 1998 (Testimony).
- Testimony, Affidavit, Affidavit, Report, Deposition, Affidavit and Testimony of Gustavo E. Bamberger in Re: <a href="Hamilton">Hamilton</a>, et al. v. Accu-Tek, et al.: In the U.S. District Court for the Eastern District of New York, No. 95 CV 0049, July 27, 1998 (Testimony before Magistrate Judge Cheryl L. Pollak); August 13, 1998 (Affidavit); October 2, 1998 (Affidavit); October 16, 1998 (Report); November 13, 1998 (Deposition); December 12, 1998 (Affidavit); and December 29, 1998 and January 27-28, 1999 (Testimony).
- Expert Report of Robert H. Gertner and Gustavo E. Bamberger in Re: <u>BDPCS, INC., d/b/a BEST DIGITAL, and BDPCS Holdings, Inc., formerly known as Questcom, Claimants, v. U S WEST, Inc. and U S WEST Communications, Inc., Respondents: American Arbitration Association, Denver Office, No. 77 181 00204 97, July 31, 1998.</u>
- Statement of Dennis W. Carlton and Gustavo E. Bamberger in Re: <a href="Enforcement Policy Regarding Unfair Exclusionary Conduct in the Air Transportation Industry">Enforcement Policy Regarding Unfair Exclusionary Conduct in the Air Transportation Industry</a>: Before the Department of Transportation, Office of the Secretary, Washington, D.C., Docket OST-98-3713, September 24, 1998.
- Responsive Direct Testimony and Cross-Examination Testimony of Gustavo E. Bamberger for Intervenor Oklahoma Gas and Electric Company in Re: <u>Joint Application of American Electric Power Company, Inc., Public Service Company of Oklahoma and Central and South West Corporation Regarding Proposed Merger</u>: Before the Corporation Commission of the State of Oklahoma, Cause No. PUD 980000444, March 29, 1999 (Responsive Direct Testimony with Dennis Carlton); and April 21, 1999 (Cross-Examination).
- Prepared Answering Testimony and Exhibits of Gustavo E. Bamberger and Dennis W. Carlton on Behalf of Oklahoma Gas and Electric Company in Re: <u>American Electric Power Company, Inc. and Central and South West Corporation</u>: United States of America Before the Federal Energy Regulatory Commission, FERC Docket Nos. ER98-40-000, ER98-2770-000, ER98-2786-000, April 28, 1999.
- Affidavit of Gustavo E. Bamberger on Behalf of Allegheny Energy in Re: <u>Dominion Resources</u>, <u>Inc. and Consolidated Natural Gas Company</u>: United States of America Before the Federal Energy Regulatory Commission, FERC Docket No. EC99-81-000, August 5, 1999.

- Rebuttal Report of Dennis W. Carlton and Gustavo E. Bamberger; Reply Report of Dennis W. Carlton and Gustavo E. Bamberger to Professor Michael Ward; Testimony of Dennis W. Carlton and Gustavo E. Bamberger; Critique of the Memorandum of Fact and Law of the Commissioner of Competition by Gustavo E. Bamberger in Re: <a href="https://docs.org/10.25">The Commissioner of Competition and Gustavo E. Bamberger in Re: The Commissioner of Competition and Superior Propane Inc. and ICG Propane Inc.: Before The Competition Tribunal, No. CT-98/2, September 14, 1999 (Rebuttal Report); September 19, 1999 (Reply Report); September 27, 1999 (Rebuttal Report to Professor Michael Ward); December 13-14, 1999 (Testimony); and January 31, 2000 (Critique).
- Declaration and Reply Declaration of Robert H. Gertner and Gustavo E. Bamberger in the Matter of: Application by New York Telephone Company (d/b/a Bell Atlantic New York), Bell Atlantic Communications, Inc., NYNEX Long Distance, and Bell Atlantic Global Networks, Inc., for Provision of In-Region, InterLATA Services in New York: Before the Federal Communications Commission, CC Docket No. 99-295, September 29, 1999 (Declaration) and November 8, 1999 (Reply Declaration).
- Statement of Gustavo E. Bamberger and Hans-Jürgen Petersen in the Matter of: <u>Proceeding on Motion of the Commission to Investigate Performance-Based Incentive Regulatory Plans for New York Telephone Company Track 2</u>: Before the State of New York Public Service Commission, Case 92-C-0665, November 30, 1999.
- Report and Deposition of Gustavo E. Bamberger In Re: <u>Northwest Airlines Corp. et al., Antitrust Litigation</u>: In the U.S. District Court for the Eastern District of Michigan, Master File No. 96-74711, March 31, 2000 (Report); and July 21, 2000 (Deposition).
- Testimony and Cross-Examination of Gustavo E. Bamberger on Behalf of Sacramento Municipal Utility District Regarding Public Interest Issues Raised by Alternative Methods of Valuation In Re: <a href="Application of Pacific Gas & Electric Company to Market Value Hydroelectric Generating Plants and Related Assets Pursuant to Public Utility Code Sections 367(b) and 851: Before the Public Utilities Commission of the State of California, Application No. 99-09-053, June 8, 2000 (Testimony); and June 27, 2000 (Cross-Examination).
- Comments on the SEC's Proposed Auditor Independence Standards, SEC File No. S7-13-00, filed with the Securities and Exchange Commission, on behalf of Arthur Andersen, Deloitte & Touche, KPMG and the American Institute of Certified Public Accountants (with Charles C. Cox and Kenneth R. Cone), September 25, 2000.
- Joint Reply Declaration, Joint Supplemental Declaration and Joint Supplemental Reply
  Declaration of Robert H. Gertner and Gustavo E. Bamberger in the Matter of: Application
  by Verizon New England Inc., Bell Atlantic Communications, Inc. (d/b/a Verizon Long
  Distance), NYNEX Long Distance Company (d/b/a Verizon Enterprise Solutions), and
  Verizon Global Networks Inc., for Authorization To Provide In-Region, InterLATA
  Services in Massachusetts: Before the Federal Communications Commission, CC
  Docket No. 00-176 and CC Docket No. 01-9, November 3, 2000 (Reply Declaration);
  January 16, 2001 (Supplemental Declaration); and February 28, 2001 (Supplemental Reply Declaration).
- Declaration of Robert H. Gertner and Gustavo E. Bamberger, submitted to the Federal Communications Commission, in Re: Bell Atlantic/NYNEX Merger Performance Monitoring Reports, November 30, 2000.

- Testimony and Rebuttal Testimony of Gustavo E. Bamberger on Behalf of Sacramento Municipal Utility District In Re: Application of Pacific Gas & Electric Company to Market Value Hydroelectric Generating Plants and Related Assets Pursuant to Public Utility Code Sections 367(b) and 851: Before the Public Utilities Commission of the State of California, Application No. 99-09-053, December 5, 2000 (Testimony); and January 16, 2001 (Rebuttal Testimony).
- Report, Rebuttal Report, Revised Damage Report, Deposition and Declaration of Gustavo E. Bamberger in Re: Republic Tobacco, L.P. v. North Atlantic Trading Company, Inc., North Atlantic Operating Company, Inc. and National Tobacco Co., L.P.: In the U.S. District Court for the Northern District of Illinois, Eastern Division, No. 98 C 4011, February 5, 2001 (Report); April 20, 2001 (Rebuttal Report); April 20, 2001 (Revised Damage Report); May 15-16 (Deposition); and November 5, 2001 (Declaration).
- Joint Declaration of Robert H. Gertner and Gustavo E. Bamberger in the Matter of: <u>Application by Verizon New York Inc.</u>, <u>Verizon Long Distance</u>, <u>Verizon Enterprise Solutions</u>, <u>Verizon Global Networks Inc.</u>, <u>and Verizon Select Services Inc.</u>, <u>for Authorization To Provide In-Region</u>, <u>InterLATA Services in Connecticut</u>: Before the Federal Communications Commission, CC Docket No. 01-100, April 23, 2001.
- Direct, Supplemental and Cross-Examination Testimony of Gustavo E. Bamberger in Re:

  Petition for Approval of a Statement of Generally Available Terms and Conditions

  Pursuant to §252(f) of the Telecommunications Act of 1996 and Notification of Intention
  to File a Petition for In-region InterLATA Authority With the FCC Pursuant to §271 of the
  Telecommunications Act of 1996: Before the Alabama Public Service Commission,
  Docket No. 25835, May 16, 2001 (Direct); June 19, 2001 (Supplemental); and June 27,
  2001 (Cross-Examination).
- Affidavit of Robert H. Gertner and Gustavo E. Bamberger in the Matter of: <u>BellSouth</u>

  <u>Telecommunications, Inc.'s Entry into InterLATA Services PursuantTo Section 271 of the Telecommunications Act of 1996</u>: Before the Georgia Public Service Commission, Docket No. 6863-U, May 31, 2001.
- Direct Testimony of Gustavo E. Bamberger in the Matter of: <u>Application of BellSouth</u>
  <u>Telecommunications, Inc. To Provide In-Region InterLATA Services Pursuant to Section</u>
  <u>271 of the Telecommunications Act of 1996</u>: Before the North Carolina Utilities
  Commission, Docket No. P-55, Sub 1022, June 11, 2001.
- Direct Testimony of Gustavo E. Bamberger in Re: <u>Consideration of the Provision of In-Region InterLATA Services By BellSouth Telecommunications, Inc. Pursuant to Section 271 of the Telecommunications Act of 1996</u>: Before the Mississippi Public Service Commission, Docket No. 97-AD-0321, June 15, 2001.
- Direct, Rebuttal and Cross-Examination Testimony of Gustavo E. Bamberger in Re: <u>Application of BellSouth Telecommunications</u>, <u>Inc. to Provide In-Region InterLATA Services Pursuant to Section 271 of the Telecommunications Act of 1996</u>: Before the Public Service Commission of South Carolina, Docket No. 2001-209-C, June 18, 2001 (Direct); July 16, 2001 (Rebuttal); and July 26-27, 2001 (Cross-Examination).

- Affidavit of Robert H. Gertner and Gustavo E. Bamberger in Re: Consideration and review of BellSouth Telecommunication, Inc.'s pre-application compliance with Section 271 of the Telecommunications Act of 1996, including but not limited to, the fourteen requirements set forth in Section 271(c)(2)(B) in order to verify compliance with Section 271 and provide a recommendation to the Federal Communications Commission regarding BellSouth Telecommunications, Inc.'s application to provide interLATA services originating in-region: Before the Louisiana Public Service Commission, Docket No. U-22252-E, June 21, 2001.
- Joint Declaration and Joint Reply Declaration of Robert H. Gertner, Gustavo E. Bamberger and Michael P. Bandow in the Matter of: <u>Application by Verizon Pennsylvania Inc.</u>, <u>Verizon Long Distance</u>, <u>Verizon Enterprise Solutions</u>, <u>Verizon Global Networks Inc.</u>, <u>and Verizon Select Services Inc.</u>, <u>for Authorization To Provide In-Region</u>, <u>InterLATA Services in Pennsylvania</u>: Before the Federal Communications Commission, CC Docket No. 01-138, June 21, 2001 (Declaration); and August 6, 2001 (Reply Declaration).
- Direct Testimony of Gustavo E. Bamberger in Re: <u>BellSouth Telecommunications</u>, <u>Inc.'s Entry into Long Distance (interLATA Service) in Tennessee Pursuant to Section 271 of the Telecommunications Act of 1996</u>: Before the Tennessee Regulatory Authority, Docket No. 97-00309, July 30, 2001.
- Expert Report and Testimony of Gustavo E. Bamberger in Re: In the Arbitration of Legend Healthcare, Inc. v. United Healthcare Services, Inc., et al.: American Arbitration Association, Commercial Arbitration No. 65 Y 193 00194 00, August 1, 2001 (Report); and September 27, 2001 (Testimony).
- Reply Declaration of Dennis W. Carlton, Hal S. Sider and Gustavo E. Bamberger in the Matter of: Review of Regulatory Requirements for Incumbent LEC Broadband

  Telecommunications Services: Before the Federal Communications Commission, CC Docket No. 01-337, April 22, 2002.
- Expert Preliminary Report, Supplemental Expert Report, Rebuttal Expert Report, Deposition, Declaration, Supplemental Declaration and Declaration of Gustavo E. Bamberger in Re:

  Nobody in Particular Presents, Inc., v, Clear Channel Communications, Inc., Clear

  Channel Entertainment, Inc., Clear Channel Radio, Inc., Clear Channel Broadcasting

  Inc., KBCO-FM, KBPI-FM, KFMD-FM, KRFX-FM, and KTCL-FM, In the U.S. District

  Court for the District of Colorado, Civil Action No. 01-N-1523, May 3, 2002 (Preliminary Report); July 26, 2002 (Supplemental Report); August 20, 2002 (Rebuttal Report);

  September 17, 2002 (Deposition); October 31, 2002 (Declaration); January 24, 2003 (Supplemental Declaration); and July 21, 2003 (Declaration).
- Comments Regarding Regulation of Broadband Internet Access Services in the Matter of:

  Inquiry Concerning High-Speed Access to Internet Over Cable and other Facilities, GN
  Docket No. 00-185; in the Matter of: Deployment of Wireline Services Offering Advanced
  Telecommunications Capability, CC Docket No. 98-147; in the Matter of: Computer III
  Further Remand Proceedings: Bell Operating Company Provision of Enhanced Services,
  CC Docket No. 95-20; and in the Matter of: 1998 Biennial Regulatory Review: Review of
  Computer III and ONA Safeguards and Requirements, CC Docket No. 98-10 (with
  Kenneth Arrow, Gary Becker, Dennis Carlton, Daniel Fischel, Robert Gertner, Joseph
  Kalt and Hal Sider), May 3, 2002.

- Expert Report, Reply Expert Report and Declaration of William Landes, Hal Sider and Gustavo Bamberger, and Declaration, Deposition and Supplemental Declaration of Gustavo E. Bamberger in Re: Vitamin Antitrust Litigation: In the U.S. District Court for the District of Columbia, M.D.L. No. 1285, May 23, 2002 (Report); July 17, 2002 (Reply Report); August 1, 2002 (Declaration with Landes and Sider); August 5, 2002 (Declaration); August 9, 2002 (Deposition); and September 27, 2002 (Supplemental Declaration).
- Deposition of Gustavo E. Bamberger in Re: <u>Devin Daniels</u>, <u>et al. v. Philip Morris Companies</u>, <u>Inc.</u>, <u>et al.</u>: In San Diego Superior Court, Case No. 719446, June 10, 2002.
- Declaration of Gustavo E. Bamberger and Michael P. Bandow in Re: <u>EB-01-1H-0352</u>, <u>Supplemental Response to Questions Posed by the Commission in its May 21, 2002</u> <u>Letter re Verizon's Provisioning of Special Access Services</u>, submitted to the Federal Communications Commission, July 31, 2002.
- Affidavit, Expert Report and Deposition of Gustavo E. Bamberger in Re: National Association for the Advancement of Colored People (NAACP) and National Spinal Cord Injury
  Association (NSCIA) v. Acusport Corporation; Ellet Brothers, Inc., RSR Management
  Company, and RSR Group, Inc., individually and on behalf of similarly situated entities; and National Association for the Advancement of Colored People (NAACP) et al., v.
  American Arms, Inc., et al.: In the U.S. District Court for the Eastern District of New York, CV 99-7037 and CV 99-3999, August 20, 2002 (Affidavit); February 19, 2003 (Report); and March 6, 2003 (Deposition).
- Report of Gustavo E. Bamberger in Re: <u>Nevada Power Company v. Lexington Insurance</u>
  <u>Company et al.</u>: In the U.S. District Court for the Southern District of Nevada, CV-S-01-0045-PMP-PAL, October 23, 2002.
- Deposition of Gustavo E. Bamberger in Re: <u>Firearm Cases</u>: In Superior Court of the State of California, County of San Diego, Judicial Council Coordination Proceeding No. 4095, November 6, 2002.
- Expert Rebuttal Report, Expert Report and Deposition of Gustavo E. Bamberger in Re: <u>Baum Research and Development, Inc. and Steve Baum v. Hillerich & Bradsby Co., Inc.;</u>
  <u>Easton Sports, Inc.; Worth, Inc.; National Collegiate Athletic Association; and Sporting Goods Manufacturers Association</u>: In the U.S. District Court for the Eastern District of Michigan, 98-72946, January 13, 2003 (Expert Rebuttal Report and Expert Report); and May 28-29, 2003 (Deposition).
- Declaration of Gustavo E. Bamberger and Michael P. Bandow in Re: <u>EB-01-1H-0352</u>, <u>Supplemental Response to Questions Posed by the Commission in its January 24, 2003 Letter re: Verizon's Provisioning of Special Access Services</u>, submitted to the Federal Communications Commission, March 14, 2003.
- Dennis W. Carlton, Janice H. Halpern and Gustavo E. Bamberger, "Economic Analysis of the News Corporation/DIRECTV Transaction," and "Response to William P. Rogerson and Daniel L. Rubinfeld and Duncan Cameron," submitted to the Federal Communications Commission, MB Docket No. 03-124, July 1, 2003; and September 8, 2003.

- Expert Report, Deposition, Declaration and Testimony of Gustavo Bamberger in Re: Western Asbestos Company; Western MacArthur Company; and Mac Arthur Company, Debtors: In United States Bankruptcy Court, Northern District of California, Oakland Division, Nos. 02-46284, 02-46285, 02-46286, September 15, 2003 (Expert Report); October 21, 2003 (Deposition); November 17, 2003 (Declaration); and November 21, 2003 (Testimony).
- Expert Report, Deposition and Testimony of Gustavo E. Bamberger in the Matter of the Arbitration Between: Rangemark Insurance Services, Inc., Petitioner vs. Claremont Liability Insurance Company, Respondent, October 24, 2003 (Expert Report); November 14, 2003 (Deposition); and February 12, 2004 (Testimony).
- Joint Declaration of Gustavo E. Bamberger and Bradley N. Reiff, Joint Reply Declaration of Gustavo E. Bamberger and Bradley N. Reiff, Deposition of Gustavo E. Bamberger, Joint Expert Report of Gustavo E. Bamberger and Bradley N. Reiff, Joint Expert Rebuttal Report of Gustavo E. Bamberger and Bradley N. Reiff and Deposition of Gustavo E. Bamberger in Re: Currency Conversion Fee Antitrust Litigation: In the U.S. District Court for the Southern District of New York, MDL Docket No. 1409, November 11, 2003 (Joint Declaration); December 18, 2003 (Deposition); April 2, 2004 (Joint Reply Declaration); December 22, 2004 (Joint Expert Report); April 15, 2005 (Joint Expert Rebuttal Report); and May 20, 2005 (Deposition).
- Expert Report, Deposition and Reply Expert Report of Gustavo E. Bamberger in Re: Marketing and Management Information, Inc. v. The United States: In the U.S. Court of Federal Claims, No. 99-194C, March 16, 2004 (Expert Report); April 20-21, 2004 (Deposition); and May 6, 2004 (Reply Expert Report).
- Joint Expert Witness Statement of Gustavo Bamberger, David Gillen, Margaret Guerin-Calvert, Andrew Hanssen, Jerry Hausman, Timothy Hazledine, Janusz Ordover, Robert Willig and Kieran Murray; Affidavit of Gustavo Ernesto Bamberger and Dennis William Carlton in Reply; Second Affidavit of Gustavo Ernesto Bamberger and Dennis William Carlton; Affidavit of Gustavo Ernesto Bamberger; and Testimony of Gustavo Bamberger in the Matter of: An appeal from determinations of the Commerce Commission between Air New Zealand Limited, Qantas Airways Limited, Appellants and Commerce Commission, Respondents: In the High Court of New Zealand Auckland Registry, CIV 2003-404-6590, May 21, 2004 (Joint Expert Witness Statement); June 4, 2004 (Reply Affidavit); July 2, 2004 (Second Affidavit); July 12, 2004 (Affidavit of Gustavo Bamberger); and July 13-16, 2004 (Testimony).
- Expert Report, Supplemental Expert Report, Deposition and Rebuttal Expert Report of Gustavo Bamberger in Re: Congoleum Corporation et al.: In United States Bankruptcy Court, District of New Jersey, Case 03-51524 (KCS), July 9, 2004 (Expert Report); January 26, 2005 (Supplemental Expert Report); February 9, 2005 and March 18, 2005 (Deposition); and February 23, 2005 (Rebuttal Expert Report).
- Statement and Letter of Gustavo Bamberger in the Matter of: <u>A La Carte and Themed Tier</u>

  <u>Programming and Pricing Options for Programming Distribution on Cable Television and Direct Broadcast Satellite Systems</u>: Before the Federal Communications Commission, MB Docket No. 04-207, July 15, 2004 (Statement); and November 4, 2004 (Letter with Michael G. Baumann, John M. Gale, Thomas W. Hazlett, Michael L. Katz, Kent W. Mikkelsen and Bruce M. Owen).

- Expert Report, Supplemental Expert Report, Deposition and Testimony of Gustavo Bamberger in Re: Braid Electric Company, Claimant vs. Square D Company / Schneider Electric,
   Respondent: American Arbitration Association, Case No. 51 Y 181 01712 03, August 16, 2004 (Expert Report); October 8, 2004 (Supplemental Expert Report); October 29, 2004 (Deposition); and November 15, 2005 (Testimony).
- Declaration, Deposition, Affidavit, Reply Declaration and Reply Report on Remand of Gustavo Bamberger in Re: <u>Issuer Plaintiff Initial Public Offering Antitrust Litigation</u> and <u>Public Offering Fee Antitrust Litigation</u>: In the U.S. District Court for the Southern District of New York, 00 Civ. 7804 (LMM) (DFE) and 98 Civ. 7890 (LMM), September 16, 2004 (Declaration); January 27, 2005 (Deposition); October 24, 2005 (Affidavit); October 17, 2007 (Reply Declaration); and March 6, 2008 (Reply Report on Remand).
- Expert Report and Deposition of Gustavo Bamberger in Re: Congoleum Corporation v. Ace

  American Insurance Company, et al.: In the Superior Court of New Jersey, Law Division:

  Middlesex County, Docket No. MID-L-8908-01, December 17, 2004 (Expert Report); and
  March 18, 2005 (Deposition).
- Declaration of Gustavo Bamberger in Re: <u>Gas Plus, a California Corporation; and Gas Plus San Marcos, Inc., a California Corporation vs. Exxon Mobil Corporation, a Corporation; Mark McEnomy, an individual; Anthony Moss, an individual; and Does 1-50, inclusive: In the Superior Court of the State of California in and for the County of San Diego, North County Division, Case No. GIN 032455, February 14, 2005.</u>
- Declaration, Expert Report, Expert Rebuttal Report and Deposition of Gustavo Bamberger in Re: Robert Ross and Randal Wachsmuth, on behalf of themselves and all others similarly situated vs. American Express Company, American Express Travel Related Services, Inc., and American Express Centurion Bank: In the U.S. District Court for the Southern District of New York, 04 CV 05723, February 18, 2005 (Declaration); September 12, 2005 (Expert Report); November 14, 2005 (Expert Rebuttal Report); and December 14, 2005 (Deposition).
- Expert Report of Gustavo E. Bamberger and Dennis W. Carlton, Testimony of Gustavo E. Bamberger and Rebuttal Report of Gustavo E. Bamberger in the Matter of: <a href="EchoStar Satellite"><u>EchoStar Satellite</u></a>, <a href="L.L.C v. Fox Television Holdings">L.L.C v. Fox Television Holdings</a>, <a href="Inc.">Inc.</a>, <a href="Fox/UTV Holdings">Fox/UTV Holdings</a>, <a href="Inc.">Inc.</a> and <a href="News">News</a> and <a href="Person">News</a> (Person Person Person
- Declaration, Reply Declaration and Ex Parte Submission of Gustavo E. Bamberger, Dennis W. Carlton and Alan L. Shampine in Re: <u>Verizon Communications Inc. and MCI, Inc., Applications for Approval of Transfer of Control</u>: Before the Federal Communications Commission, WC Docket No. 05-75, March 11, 2005 (Declaration); May 24, 2005 (Reply Declaration); and September 9, 2005 (Ex Parte Submission).

- Statement of Gustavo Bamberger and Lynette Neumann, Further Statement of Gustavo Bamberger and Lynette Neumann, Updated Analysis of Effect of RSN Availability on DBS Penetration (with L. Neumann); Analysis of the Effect of "Clustering" on the Availability and Penetration of Digital Cable, High-Speed Data and Telephony Services (with L. Neumann); and Supporting Declaration of Gustavo Bamberger and Lynette Neumann in Re: <a href="Applications of Adelphia Communications Corporation, Comcast Corporation, and Time Warner Cable Inc., For Authority to Assign and/or Transfer Control of Various Licenses: Before the Federal Communications Commission, MB Docket No. 05-192, July 21, 2005 (Statement); March 1, 2006 (Further Statement); March 17, 2006 (Updated Analysis); March 30, 2006 (Effect of "Clustering"); and April 5, 2006 (Supporting Declaration).
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  <u>The Joint Petition of Verizon Communications Inc., and MCI, Inc. for a Declaratory</u>

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- Declaration of Gustavo Bamberger in Re: <u>USG Corporation</u>, a <u>Delaware corporation</u>, et al., <u>Debtors</u>, <u>USG Corporation</u>, et al., <u>Movant v. Official Committee of Asbestos Personal Injury Claimants</u>, <u>Official Committee of Unsecured Creditors</u>, <u>Official Committee of Asbestos Property Damage Claimants and Legal Representative for Future Claimants</u>, <u>Respondents</u>: In The U.S. District Court For The District Of Delaware, Chapter 11, Jointly Administered, Case No. 01-2094 (JKF), Civil Action No. 04-1559 (JFC) Civil Action No. 04-1560 (JFC), September 28, 2005.
- Declaration, Deposition and Testimony of Gustavo Bamberger in Re: Marvin D. Chance, Jr., on behalf of himself and all other similarly situated Kansas residents, Thomas K. Osborn, on behalf of himself and all other similarly situated New York residents v. United States Tobacco Company, United States Tobacco Sales and Marketing Company, Inc., United States Tobacco Manufacturing Company, Inc., and UST, Inc.: In the District Court of Seward County, Kansas, Case No. 02-C-12, September 29, 2005 (Declaration); November 1, 2005 (Deposition); and January 19, 2006 and April 4, 2006 (Testimony).
- Expert Report, Rebuttal Report and Deposition of Gustavo Bamberger in Re: <u>Jame Fine Chemicals</u>, Inc. (d/b/a JFC Technologies) v. Hi-Tech Pharmacal Co., Inc. v. MedPointe Inc. as successor in interest to and formerly known as Carter-Wallace, Inc., and ABC Corporation and XYZ, Inc., companies and/or corporations whose true identities are unknown to Third-Party Plaintiff: In the U.S. District Court for the District of New Jersey, Civil Action No. 00-3545 (AET), October 3, 2005 (Report); May 8, 2006 (Rebuttal Report); and June 15, 2006 (Deposition).
- Deposition and second Deposition of Gustavo Bamberger in Re: <u>John Crane, Inc. v. Admiral Insurance Company, et al.</u>, In the Circuit Court of Cook County, Illinois, County Department, Chancery Division, Case No. 04-CH-08266, October 17, 2005 (Deposition); and November 2, 2006 (Second Deposition).
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- Submission of Gustavo Bamberger for Transpower New Zealand Limited to the New Zealand Commerce Commission, February 27, 2006.
- Brief of Evidence of Gustavo Ernesto Bamberger and Testimony of Gustavo Bamberger in the Matter of: <a href="The Commerce Commission">The Commerce Commission</a>, Plaintiff and New Zealand Bus Limited, First Defendant and Blairgowrie Investments Limited, Copland Neyland Associates Limited, Rhoderick John Treadwell and Kerry Leigh Waddell, Karyn Justine Cosgrave and Ian Waddell, Second Defendants and Infratil Limited, Third Defendant: In the High Court of New Zealand Wellington Registry, CIV 2006-485-585, May 17, 2006 (Brief of Evidence); and May 30, 2006 (Testimony).
- Rebuttal Testimony of Gustavo Bamberger on Damages and Deposition in Re: <u>Tessera, Inc. vs. Micron Technology, Inc., Micron Semiconductor Products, Inc., Infineon Technologies AG, Infineon Technologies Richmond, LP, and Infineon Technologies North America Corp. and Qimonda AG: In the U.S. District Court for the Eastern District of Texas, Marshall Division, Case No. 2:05CV-94, June 23, 2006 (Rebuttal Testimony) and July 22, 2006 (Deposition).</u>
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  <u>Corporation and EDS Information Services, L.L.C. v. MCI Communications Services, Inc.</u>: American Arbitration Association, Arbitration No. 13 181 00976 06, July 20, 2006 (Expert Report); and August 11, 2006 (Deposition).
- Declaration, Revised Declaration and Deposition of Gustavo Bamberger in Re: <u>Jason</u>

  <u>Feuerabend</u>, a Wisconsin resident, on behalf of himself and all others similarly situated

  <u>v. UST Inc., U.S. Smokeless Tobacco Brands Inc., U.S. Smokeless Tobacco Co., U.S.</u>

  <u>Smokeless Tobacco Manufacturing Limited Partnership, and Does 1-20 inclusive</u>: In the Circuit Court of Milwaukee County, Wisconsin, Case No. 02CV007124, September 21, 2006 (Declaration); December 1, 2006 (Revised Declaration); and December 5, 2006 (Deposition).
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- Declaration of Gustavo Bamberger and Lynette Neumann in Re: In the Matter of National

  <u>Exchange Carrier Association, Inc.'s Proposed 2007 Modification of Average Schedule</u>

  <u>Formulas:</u> Before the Federal Communications Commission: WC Docket No. 06-223, May 4, 2007.

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- Declaration of Gustavo Bamberger in Re: <u>Massachusetts Smokeless Tobacco Litigation</u>: In the Superior Court of the Commonwealth of Massachusetts, Superior Court Dept. Docket No. 03-0320, Case No. 02-5038 BLS, August 1, 2007.
- Statement of Evidence, Reply Statement of Evidence and Testimony of Gustavo Ernesto Bamberger in the Matter of: <a href="Each an appeal against a determination">Each an appeal against a determination of the Commerce Commission between Woolworths Limited, Appellant and the Commerce Commission, Respondent, and Foodstuffs (Auckland) Limited, Foodstuffs South Island Limited, Foodstuffs (Wellington) Co-Operative Society Limited, Appellants and the Commerce Commission, Respondent, and The Warehouse Group Limited, Appellant and the Commerce Commission, Respondent: In the High Court of New Zealand Wellington Registry, CIV 2007-485-1255, CIV 2007-485-1379 and CIV 2007-485-1731, September 20, 2007 (Statement); October 29, 2007 (Reply Statement); and October 29-31, 2007 (Testimony).
- Affidavit of Gustavo Bamberger in Re: <u>United States of America v. Faust Villazan, Faustech</u>
  <u>Industries, Inc., Siemens Medical Solutions USA Inc., f/k/a Siemens Medical Systems,</u>
  <u>Daniel Desmond, and Ellen Roth</u>: In the U.S. District Court for the Northern District of Illinois, Eastern Division, No. 05 CR 792, October 11, 2007.
- Declaration of Gustavo Bamberger in Re: <u>Burns & Roe Enterprises, Inc., et al., Debtors</u>: In the United States Bankruptcy Court, District of New Jersey, Case Nos. 00-41610(RG) and 05-47946(RG) (Consolidated), October 17, 2007.
- Statement, Report and Deposition of Gustavo Bamberger in Re: <u>American Optical Corporation</u>, <u>Warner-Lambert Company</u>, <u>LLC</u>, <u>and W-L LLC v. Admiral Insurance Company</u>, <u>et al</u>.: In the Superior Court of New Jersey Law Division: Union County, Docket No. UNN-L-2505-01, December 13, 2007 (Statement); December 26, 2007 (Report); and February 12, 2008 (Deposition).
- Declaration of Gustavo E. Bamberger in Support of Plaintiffs' Opposition to Defendants' Motion for Partial Summary Judgment on Plaintiffs' Per Se Claim, Deposition and Declaration in Re: <u>ATM Fee Antitrust Litigation</u>: In the United States District Court, Northern District of California, Master File No. C04-2676 CRB, December 21, 2007 (Declaration); February 1, 2008 (Deposition); and August 20, 2010 (Declaration).
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- Expert Report, Deposition, Expert Rebuttal Report, Testimony, Rebuttal Testimony, Supplemental Expert Report, Supplemental Expert Rebuttal Report and Deposition of Gustavo Bamberger in Re: Valassis Communications, Inc. v. News America Incorporated, a/k/a News America Marketing Group, News America Marketing FSI, Inc. a/k/a News America Marketing FSI, LLC and News America Marketing In-Store Services, Inc. a/k/a News America Marketing In-Store Services, LLC: In the United States District Court, Eastern District of Michigan, Southern Division, Case No. 2:06-cv-10240 and State Court of Michigan, in the Circuit Court for the County of Wayne, Case No. 07-706645-CZ, November 21, 2008 (Expert Report); December 23, 2008 (Deposition); February 6, 2009 (Expert Rebuttal Report); Testimony (June 11, 2009); Rebuttal Testimony (July 16, 2009); Supplemental Expert Report (December 21, 2009); Supplemental Expert Rebuttal Report (January 14, 2010); and Deposition (January 19, 2010) (Case No. 2:06-cv-10240 only for Supplemental Reports and second deposition).
- Brief of Evidence of Dennis William Carlton and Gustavo Ernesto Bamberger, Affidavit of Gustavo Ernesto Bamberger in support of amended notice of opposition by the Commerce Commission to the amended notice of application by the bank defendants and the notice of application by MasterCard for orders as to admissibility of evidence, and Reply Brief of Evidence of Dennis William Carlton and Gustavo Ernesto Bamberger in the Matter of: <a href="The Commerce Commission">The Commerce Commission</a>, Plaintiff and Cards NZ Limited, First <a href="Defendant and others">Defendant and others</a> and <a href="DSE">DSE</a> (NZ) Limited, First Plaintiff and others and Card NZ <a href="Limited">Limited</a>, First Defendants and others: In the High Court of New Zealand Auckland Registry, CIV 2006-485-2535 and CIV-2006-485-2693, May 4, 2009 (Brief of Evidence); May 20, 2009 (Affidavit); September 4, 2009 (Reply Brief).
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- Affidavit of Gustavo Ernesto Bamberger in opposition to application by plaintiff for stay of execution, Brief of Evidence of Gustavo Ernesto Bamberger, Summary Statement of Gustavo Bamberger and Testimony in the Matter of: <a href="Todd Pohokura Limited">Todd Pohokura Limited</a>, Plaintiff and Shell Exploration NZ Limited, First Defendant and OMV New Zealand Limited, Second Defendant: In the High Court of New Zealand Wellington Registry, CIV 2006-485-1600, November 4, 2009 (Affidavit); November 25, 2009 (Brief of Evidence); March 25, 2010 (Summary Statement); and March 25-26, 29-30, 2010 (Testimony).
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- Report and Reply Report of Dennis W. Carlton and Gustavo E. Bamberger and Cross-Examination of Gustavo E. Bamberger in Re: <u>Air Canada and Toronto Port Authority and Porter Airlines Inc.</u>: Federal Court, File No. 10-T-6, February 5, 2010 (Report); May 18, 2010 (Reply Report); and June 15, 2010 (Cross-Examination).
- Expert Report of Daniel R. Fischel and Gustavo E. Bamberger, Expert Report of David K.A. Mordecai and Gustavo E. Bamberger and Deposition of Gustavo E. Bamberger in Re:

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- Expert Report of Daniel R. Fischel and Gustavo E. Bamberger and Deposition of Gustavo E. Bamberger in Re: <u>Genetically Modified Rice Litigation</u>: In the United States District Court, Eastern District of Missouri, Eastern Division, Tilda Ltd v. Riceland Foods, Inc., Producers Rice Mill, Inc., Bayer Cropscience Inc., and Bayer Cropscience LP; Producers Rice Mill, Inc. v. Bayer Cropscience LP and Bayer Cropscience Holding Inc., Bayer Corporation, Bayer Cropscience AG, Bayer AG, and Bayer Bioscience nv, Case No. 4:07-Cv-00457, Master Case No. 4:06 MD 1811 CDP, July 14, 2010 (Expert Report); and September 15, 2010 (Deposition).
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- Report of Dennis W. Carlton and Gustavo E. Bamberger for Vector Limited to the New Zealand Commerce Commission, August 23, 2010.

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- Submission and Supplemental Submission of Dennis Carlton, Charles Augustine and Gustavo Bamberger on behalf of Meridian Energy to the New Zealand Electricity Authority, February 23, 2012 (Submission); and March 8, 2012 (Supplemental Submission).
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  <u>Kissing Camels Surgery Center, LLC, et al., v. Colorado Ambulatory Surgery Center</u>

  <u>Association, Inc., et al.</u>: In the United States District Court for the District of Colorado,
  Civil Action N. 12-cv-03012-WJM-NYW, July 28, 2016 (Expert Report); September 21,
  2016 (Expert Supplemental Report); and October 7, 2016 (Deposition).

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  <u>Consultation of the Asociación Chilena de Empresas de Turismo A.G. on the concentration operation between LATAM Airlines Group, American Airlines Inc. et al., Docket NC-434-16, Tribunal de Defensa de la Competencia (Santiago, Chile), May 2, 2017 (Submission); May 26, 2017 (Reply Submission); June 8, 2017 (Testimony); and July 26, 2017 (Reply to the Minuta Técnica, with M. Israel and R. Calzaretta).</u>
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- Testimony of Gustavo Bamberger before the Arkansas Oil and Gas Commission on behalf of Arkansas Lithium Corp., Subject: Approval of Royalty Payment Procedure, Docket No. 083-2023-10, December 5, 2023.

Expert Report and Deposition of Gustavo Bamberger, in Re: <u>Delta Dental Antitrust Litigation</u>: in the United States District Court, Northern District of Illinois, Civil Action No. 1:19-CV-06734, MDL No. 2931, February 6, 2024 (Expert Report); and April 17, 2024 (Deposition).